Interpolants from Clausal Proofs

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- SAT with DRUP proofs
- Interpolationoriented BCP in Trim
- Learn sharedderived clauses in Replay

CDCL SAT solvers

- Check satisfiability of a CNF formula
 - CNF is conjunction of clauses and
 - Clause is a disjunction of literals
- Basic steps:
 - Arbitrary decisions for un-assigned vars
 - Propagate values (BCP)
 - Analyze conflicts and change decisions

SAT solvers can generate refutation proofs

The Implication Graph (BCP)



Propositional Resolution



Analyzing a Conflict

- Decisions made by the SAT solver may lead to a conflict
 - A clause is evaluated to false under the current assignment
- The implication graph is used to guide resolution steps
- The result is a learnt clause
 Prevents the same conflict from re-appearing

Refutation Proofs

- A formula is UnSAT when the empty clause can be derived from the original formula
- Resolution proof
 - A DAG that tracks resolution steps leading from the original clauses to the empty clause
 - Leaves original clauses
 - Intermediate nodes learnt/derived clauses
- Clausal proof
 - A sequence of learnt clauses
 - In the order they are learnt





- Record learnt clauses in the order they are learnt
 - A learnt clause is derived by Trivial Resolution from some previous clauses
 - If prior to learning c, the CNF is X, then c is derived by Trivial Resolution if running BCP on X ^¬c leads to a conflict
- for our example, clausal proof is <X, c>



X

 g_1

 $a_1 \vee g_1 \vee g_2$ $a_1 \vee \neg g_1 \vee g_3 \neg a_1 \vee g_2 \vee g_3 \vee \neg g_4 \neg a_1 \vee g_2$ $a_1 \vee g_4 \neg g_2 \vee g_3 \neg g_3$

- $X, (g_2 \vee g_3), (g_3)$
- $X \land \neg g_2 \land \neg g_3$
 - $-\neg a_1$ $-g_1, \neg g_1 \rightarrow \text{conflict}$

X

 \mathcal{G}_1

 $a_1 \vee g_1 \vee g_2$ $a_1 \vee \neg g_1 \vee g_3$ $\neg a_1 \vee g_2 \vee g_3 \vee \neg g_4$ $\neg a_1 \vee g_2$ $a_1 \vee g_4$ $\neg g_2 \vee g_3$ $\neg g_3$

- $X, (g_2 \vee g_3), (g_3)$
- X \land ($g_2 \lor g_3$) $\land \neg g_3$
 - $-g_2$ $-\neg g_2 \rightarrow \text{conflict}$

DRUP Proof

Marijn et al. FMCAD'13

 Extends a clausal proof by tracking deleted clauses

– A SAT solver deletes learnt clauses

- <X, c₁, c₂, c₃, c₂*, c₄, c₁*, c₃*,...> – Why?
- Introduced for SAT-solvers certification



Interpolants

 Given an unsatisfiable pair (A,B) of propositional formulas

 $-A(X,Y) \wedge B(Y,Z)$ is unsatisfiable

- There exists a formula I such that:
 - -A
 ightarrow I
 - $-I \land B$ is unsatisfiable
 - I is over the common variables of A and B





Х

 g_1

 $a_1 \vee g_1 \vee g_2$ $a_1 \vee \neg g_1 \vee g_3 \neg a_1 \vee g_2 \vee g_3 \vee \neg g_4 \neg a_1 \vee g_2$ $a_1 \vee g_4 \neg g_2 \vee g_3 \neg g_3$

- <X,(g₃)>
- X ∧ ¬g₃
 - *− ¬g*₂
 - $-\neg a_1$
 - $-g_1$, $\neg g_1 \rightarrow \text{conflict}$

Conflict Clauses



Shared Derivable Clauses

- Given an unsatisfiable pair (A,B) of propositional formulas
- A clause c is shared-derivable iff
 - c is over the common variables of A,B
 - c is derived using only A clauses

• Or, A => c

Partial CNF Interpolants

- Given an unsatisfiable pair (A,B) of propositional formulas
- Find shared-derivable clauses in the proof and
 - Log them as a CNF formula g
 - Treat them as B clauses during the computation
- Interpolant is $I \land g$



Sequence Interpolants

 Given an unsatisfiable tuple (A,B,C) of propositional formulas

 $-A(X,Y) \wedge B(Y,Z) \wedge C(Z,W)$ is unsatisfiable

- There exist formulae $I_{1_i} I_2$ such that:
 - $A \rightarrow I_1$
 - $-\, \mathtt{I}_1 \, \land \, \mathtt{B} \rightarrow \mathtt{I}_2$
 - $-I_2 \land C \rightarrow FALSE$
 - $-I_1$ is over the common variables of A and (B,C)
 - $-I_2$ is over the common variables of (A,B) and C

Sequence Interpolants

• A sequence of partial CNFs

It is more complex to maintain the sequence property

- A clause is shared-derivable iff:
 - It is derived using only shared-derivable clauses from previous partitions and from clauses within its own partition

Sequence Interpolants





Restructuring Proofs

- Proofs generally do not have this "special" structure
- Need to force this structure on the proof
 - CNF interpolants are exponentially weaker than general interpolants
 - Must be efficient
 - We do not want to disturb the SAT solver

Restructuring Proofs

- <u>Observation/Intuition</u> let c be a clause over <u>shared vocabulary</u> then one of the following must hold:
 - c is shared-derivable
 - c can be derived using shared-derivable clauses



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